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AIZAWA SECURITIES GROUP CO., LTD.

August 22, 2025

Company Name: AIZAWA SECURITIES GROUP CO., LTD.

Representative: Takuya Aizawa, President & Representative Director

(Securities Code: 8708, TSE Prime Market)

Inquiries: Toru Katsura, General Manager of Corporate Planning

Department

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Notice Regarding Personnel and Organizational Changes

AIZAWA SECURITIES GROUP CO., LTD. (hereinafter referred to as the "Company") hereby announces that the group has resolved to implement the following changes in personnel and organizational structure.

[AIZAWA SECURITIES GROUP CO., LTD.]

1. Personnel changes

(1) Changes in Directors' Areas of Supervision and Responsibilities (effective September 1, 2025)

Name	New position	Current position
Atsushi Ohishi	Director and Senior Managing Executive Officer Responsible for Systems, Compliance and Risk Management	Director and Senior Managing Executive Officer Responsible for Risk Management
Koji Omichi	Director and Executive Officer Responsible for Corporate Planning, Personnel and General Affairs	Director and Executive Officer Responsible for Corporate Planning, Information Systems, Personnel and General Affairs Department

(2) Changes in Executive officer (effective September 1, 2025)

Name	New position	Current position
	Executive Officer	
	General Manager of Information	
Kenichi Sumitomo	Systems Department	-
	Responsible for Risk Management	
	Department	

(3) Changes in important employees (effective September 1, 2025)

Name	New position	Current position
Yasuyuki Tsumura	General Manager of General Affairs Department	General Manager of Information
		Systems and General Affairs
		Department

[AIZAWA SECURITIES CO., LTD.]

1. Organizational changes

In order to enhance management functions, the Company has decided to implement the following organizational changes.

(Effective September 1, 2025)

- (1) The Information Systems Department, previously under Management Headquarters, will be reorganized to report directly to the President.
- (2) The Risk Management Department, previously under Compliance Headquarters, will be reorganized to report directly to the President.

2. Personnel changes

(1) Changes in Directors' Areas of Supervision and Responsibilities (effective September 1, 2025)

Name	New position	Current position
Atsushi Ohishi	Director and Senior Managing Executive Officer Head of Compliance Headquarters Internal Control Manager Responsible for Product Headquarters, Investment Advisory Headquarters, Systems, Risk Management and Business Innovation	Director and Senior Managing Executive Officer Head of Compliance Headquarters Internal Control Manager Responsible for Product Headquarters, Investment Advisory Headquarters and Business Innovation Department

(2) Changes in Executive officer (effective September 1, 2025)

Name	New position	Current position
Name	New position	Current position
Kenichi Sumitomo	Executive Officer	
	General Manager of Information	
	Systems Department	
	Responsible for Risk Management	-
	and Business Innovation	
	Department	

(3) Changes in important employees (effective September 1, 2025)

(5) Changes in important employees (effective september 1, 2025)		
Name	New position	Current position
Yasuyuki Tsumura	Deputy Director of Management Headquarters General Manager of General Affairs Department	Deputy Director of Management
		Headquarters
		General Manager of Information
		Systems and General Affairs
		Department